Case:17-03283-LTS Doc#:5862-1 Filed:03/18/19 Entered:03/19/19 11:25:14 Exhibit TRADE CONFIRMATION

COLAUS

Account Number:

REDACTED 5005

Financial Advisor:

BERNARD SPIELMAN

Phone:

307-672-3434

Office:

21 E. WORKS STREET

SHERIDAN, WY 82801

JOHN P DAY TRUSTEE JOHN AND LADONNA DAY REV TRUST U/A DATED 06/01/09 PO BOX 803 SHERIDAN WY 82801-0803

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If applicable, please make checks payable to Stifel Nicolaus and remit to your branch office.

Trade Date	Security Description	Cusip/Sec. No. Qty Bought	Price
01/26/2012	CAMDEN CNTY NJ IMPT AUTH HC REDEV RV COOPER HLTH	13281QAL9 40,000	98.67800
	SYS OBLG A B/E REOF 5.21	Principal:	\$39,471.20
	CPN 5.000% DUE 02/15/35	Accrued Interest:	\$922.22
	DTD 12/15/05 FC 02/15/06	Transaction / Handling Fee:	\$5.00
	CALL 02/15/15 @ 100.000	Net Amount:	\$40,398.42

Settlement Date

Account Type

Transaction Type 01 (See reverse side)

01/31/2012

CASH ACCOUNT

Special Remarks for this transaction:

CALL FEATURES EXIST AND MAY AFFECT YIELD INFORMATION AVAILABLE UPON REQUEST BOOK ENTRY ONLY

SUBJECT TO EXTRAORDINARY CALL

MANDATORY SINKING FUND 02/15/2026

OFFERING DOCUMENTS AND MATERIAL EVENTS ARE AVAILABLE AT WWW.EMMA.MSRB.ORG. PRINTED OFFERING DOCUMENTS ARE

AVAILABLE UPON REQUEST.

5.09800% YIELD TO MATURITY

SEC IPO PRICE 96.8614

DATINGO	NOODWIG T	 ANID	CAD DDT

Trade Date	Security Description	Cusip/Sec. No.	Qty Bought	Price
01/26/2012	EMPLOYEES RETIREMENT SYS	29216MAC4	10,000	98.25000
	GOV COMWLTH PR RETAIL SR			
	PENSION FDG A B/E TXBL	Principal:	en de la company	\$9,825.00
	CPN 6.150% DUE 07/01/38	Carried Control of Con		
	DTD 01/31/08 FC 03/01/08	Accrued Interest:		\$51.25
	CALL 07/01/18 @ 100.000	Transaction / Hand	dling Fee	\$5.00
		Net Amount:		\$9,881.25

Settlement Date

Account Type

Transaction Type 01 (See reverse side)

01/31/2012 CASH ACCOUNT Special Remarks for this transaction:

CALL FEATURES EXIST AND MAY AFFECT YIELD INFORMATION AVAILABLE UPON REQUEST

BOOK ENTRY ONLY

CALLABLE ANY TIME AFTER DESIGNATED CALL DATE WITH 30 DAYS

NOTICE

MANDATORY SINKING FUND 07/01/2034

OFFERING DOCUMENTS AND MATERIAL EVENTS ARE AVAILABLE AT

WWW.EMMA.MSRB.ORG. PRINTED OFFERING DOCUMENTS ARE

AVAILABLE UPON REQUEST.

DISCRETION NOT USED

6.28600% YIELD TO MATURITY

SEC IPO PRICE 100.0000

RATINGS: MOODY'S BAA1 AND S&P BBB-

Stifel, Nicolaus & Company, Incorporated • 501 North Broadway • St. Louis, Missouri 63102-2188 • 314-342-2000 • www.stifel.com Subject to terms on reverse side. Please retain for your records.

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JOHN P DAY TRUSTEE
JOHN AND LADONNA DAY REV TRUST
U/A DATED 06/01/09

April 1 -April 30, 2018 Account Number:

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REDACTED 5005

STIFEL

### ##################################	Securities Date	Transferred In/Ou	t continued Quantity	Description	Total
#/12/2018 Journal -10,000.000 EMPLOYEES RETIRE SYS REV GOV COMWLTH PR RETAIL SR PENSION FDG A B/E TXBL CPN 6.150% DUE 07/01/38 DTD 01/31/08 FC 03/01/08 CALL 07/01/18 @ 100.000 CUSIP. 292.15MAC4 TO: 69552575-1 #/12/2018 Journal -1,500.000 ENERGY TRANSFER PARTNERS LTD PARTNERSHIP INT CUSIP: 29278M103 TO: 69552575-1 #/12/2018 Journal -100.000 ENTERPRISE PRODUCTS PARTNERSHIP CUSIP: 293792107 TO: 69552575-1 #/12/2018 Journal -200.000 GENERAL MILLS INC SINCE CUSIP: 293792107 TO: 69552575-1 #/12/2018 Journal -37.000 GRANITE POINT MORTGAGE 62 TRUST INC CUSIP: 387334104 TO: 69552575-1 #/12/2018 Journal -1,800.000 HERCULES CAPITAL INC CUSIP: 38741L107 TO: 69552575-1 #/12/2018 Journal -1,800.000 HERCULES CAPITAL INC -21,85 CUSIP: 247096508 TO: 69552575-1 #/12/2018 Journal -300.000 KINDER MORGAN INC DE 4,60				CUSHING ENERGY INCOME FUND BEN INT CUSIP: 23162T102	-360.80
GOV COMMLTH PR RETAIL SR PENSION FDG A B/E TXBL CPN 6.150% DUE 07/01/38 DTD 01/31/08 FC 03/01/08 CALL 07/01/18 @ 100.000 CUSIP: 29278M0C4 TO: 69552575-1 4/12/2018 Journal -1,500.000 ENERGY TRANSFER PARTNERSHIP NEW UNIT LTD PARTNERSHIP INT CUSIP: 29278N103 TO: 69552575-1 ENTERPRISE PRODUCTS PARTNERS-HIP CUSIP: 293792107 TO: 69552575-1 4/12/2018 Journal -200.000 GENERAL MILLS INC CUSIP: 370334104 TO: 69552575-1 4/12/2018 Journal -37.000 GRANITE POINT MORTGAGE TRUST INC CUSIP: 38741L107 TO: 69552575-1 4/12/2018 Journal -1,800.000 HERCULES CAPITAL INC CUSIP: 38741L107 TO: 69552575-1 4/12/2018 Journal -1,800.000 HERCULES CAPITAL INC CUSIP: 287796508 TO: 69552575-1 4/12/2018 Journal -1,800.000 KINDER MORGANINC DE -4,60		****note****	20 42-0-2	TO: 69552575-1	
PARTNERS LTD PARTNERSHIP NEW UNIT LTD PARTNERSHIP INT CUSIP: 29278N103 TO: 69552575-1 4/12/2018 Journal -100.000 ENTERPRISE PRODUCTS PARTNERS LTD PARTNERS LTD PARTNERS LTD CUSIP: 293792107 TO: 69552575-1 4/12/2018 Journal -200.000 GENERAL MILLS INC CUSIP: 370334104 TO: 69552575-1 4/12/2018 Journal -37.000 GRANITE POINT MORTGAGE TRUST INC CUSIP: 38741L107 TO: 69552575-1 4/12/2018 Journal -1,800.000 HERCULES CAPITAL INC CUSIP: 427096508 TO: 69552575-1 4/12/2018 Journal -300.000 KINDER MORGAN INC DE -4,60	4/12/2018		-10,000.000	GOV COMWLTH PR RETAIL SR PENSION FDG A B/E TXBL CPN 6.150% DUE 07/01/38 DTD 01/31/08 FC 03/01/08 CALL 07/01/18 @ 100.000 CUSIP: 29216MAC4	-3,625.00
UNIT LTD PARTNERSHIP INT CUSIP: 29278N103 TO: 69552575-1 2/12/2018 Journal -100.000 ENTERPRISE PRODUCTS PARTNERS LTD PARTNERS HIP CUSIP: 293792107 TO: 69552575-1 2/12/2018 Journal -200.000 GENERAL MILLS INC -8,91 CUSIP: 370334104 TO: 69552575-1 2/12/2018 Journal -37.000 GRANITE POINT MORTGAGE TRUST INC CUSIP: 38741L107 TO: 69552575-1 2/12/2018 Journal -1,800.000 HERCULES CAPITAL INC -21,85 CUSIP: 427096508 TO: 69552575-1 2/12/2018 Journal -300.000 KINDER MORGAN INC DE 4,60	1/12/2018	Journal	-1,500.000		-25,605.00
PARTNERS LTD PARTNERSHIP CUSIP: 293792107 TO: 69552575-1 1/12/2018 Journal -200.000 GENERAL MILLS INC -8,91 CUSIP: 370334104 TO: 69552575-1 1/12/2018 Journal -37.000 GRANITE POINT MORTGAGE -62 TRUST INC CUSIP: 38741L107 TO: 69552575-1 1/12/2018 Journal -1,800.000 HERCULES CAPITAL INC -21,85 CUSIP: 427096508 TO: 69552575-1 1/12/2018 Journal -300.000 KINDER MORGAN INC DE -4,60	_	****note****		UNIT LTD PARTNERSHIP INT CUSIP: 29278N103	K same
#/12/2018 Journal	/12/2018	Journal	-100.000	PARTNERS LTD PARTNERSHIP	-2,578.00
CUSIP: 370334104 TO: 69552575-1 W12/2018 Journal -37.000 GRANITE POINT MORTGAGE -62 TRUST INC CUSIP: 38741L107 TO: 69552575-1 W12/2018 Journal -1,800.000 HERCULES CAPITAL INC -21,85 CUSIP: 427096508 TO: 69552575-1 W12/2018 Journal -300.000 KINDER MORGAN INC DE -4,60		****note****		TO: 69552575-1	
TRUST INC CUSIP: 38741L107 TO: 69552575-1 #/12/2018 Journal -1,800.000 HERCULES CAPITAL INC -21,85 CUSIP: 427096508 TO: 69552575-1 #/12/2018 Journal -300.000 KINDER MORGAN INC DE -4,60	/12/2018		-200.000	CUSIP: 370334104	
#/12/2018 Journal -1,800.000 HERCULES CAPITAL INC -21,85 CUSIP: 427096508 TO: 69552575-1 #/12/2018 Journal -300.000 KINDER MORGAN INC DE -4,60	/12/2018		-37.000	TRUST INC CUSIP: 38741L107	
CUSIP: 427096508 ****note**** TO: 69552575-1 #/12/2018 Journal -300.000 KINDER MORGAN INC DE -4,60		****note****			
712/2010 Journal	/12/2018	STOROGO PARMITAN	-1,800.000	CUSIP: 427096508	-21,852.00
COSIP: 49450B101	1/12/2018	Journal	-300.000	KINDER MORGAN INC DE CUSIP: 49456B101	-4, 602.00

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Mngd Acct Confirm Suppression
Trade Confirmation

STIFEL

Page Number: 53 of 55

Account Number: REDACTED 2575

Financial Advisor: BERNARD SPIELMAN

Phone: (307) 672-3434

Office: 51 COFFEEN AVENUE

SHERIDAN, WY 82801

JOHN P DAY TRUSTEE

JOHN & LADONNA DAY REV TRUST

U/A DATED 06/01/09

EQUITY COMPASS MANAGED ACCOUNT
PO BOX 803

SHERIDAN WY 82801-0803

Trade Date	Security Description	CUSIP/Sec. No.	Quantity Sold	Price
04/13/2018	EMPLOYEES RETIRE SYS REV GOV COMWLTH PR RETAIL SR	29216MAC4	10,000	33.50700
	PENSION FDG A B/E TXBL	Principal:		\$3,350.70
	CPN 6.150% DUE 07/01/38 DTD 01/31/08 FC 03/01/08	Net Amount:		\$3,350.70
	CALL 07/01/18 @ 100.000			

Settlement Date 04/17/2018

Account Type
CASH ACCOUNT

Transaction Type

As agent

Special Remarks for This Transaction:

INTEREST FLAT
CALL FEATURES EXIST AND MAY AFFECT YIELD
INFORMATION AVAILABLE UPON REQUEST
BOOK ENTRY ONLY
CALLABLE ANY TIME AFTER DESIGNATED CALL DATE WITH 30 DAYS
NOTICE
MANDATORY SINKING FUND 07/01/2034
IN DEFAULT

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DISCRETION USED
SEC IPO PRICE 100.00000
RATINGS: MOODY'S C AND S&P D